

## GLOBAL ASPECTS OF CURRENT ALGEBRA

Edward WITTEN\*

*Joseph Henry Laboratories, Princeton University, Princeton, New Jersey 08544, USA*

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A new mathematical framework for the Wess-Zumino chiral effective action is described. It is shown that this action obeys an a priori quantization law, analogous to Dirac's quantization of magnetic charge. It incorporates in current algebra both perturbative and non-perturbative anomalies.

The purpose of this paper is to clarify an old but relatively obscure aspect of current algebra: the Wess-Zumino effective lagrangian [1] which summarizes the effects of anomalies in current algebra. As we will see, this effective lagrangian has unexpected analogies to some  $2 + 1$  dimensional models discussed recently by Deser et al. [2] and to a recently noted  $SU(2)$  anomaly [3]. There also are connections with work of Balachandran et al. [4].

For definiteness we will consider a theory with  $SU(3)_L \times SU(3)_R$  symmetry spontaneously broken down to the diagonal  $SU(3)$ . We will ignore explicit symmetry-breaking perturbations, such as quark bare masses. With  $SU(3)_L \times SU(3)_R$  broken to diagonal  $SU(3)$ , the vacuum states of the theory are in one to one correspondence with points in the  $SU(3)$  manifold. Correspondingly, the low-energy dynamics can be conveniently described by introducing a field  $U(x^\alpha)$  that transforms in a so-called non-linear realization of  $SU(3)_L \times SU(3)_R$ . For each space-time point  $x^\alpha$ ,  $U(x^\alpha)$  is an element of  $SU(3)$ : a  $3 \times 3$  unitary matrix of determinant one. Under an  $SU(3)_L \times SU(3)_R$  transformation by unitary matrices  $(A, B)$ ,  $U$  transforms as  $U \rightarrow AUB^{-1}$ .

The effective lagrangian for  $U$  must have  $SU(3)_L \times SU(3)_R$  symmetry, and, to describe correctly the low-energy limit, it must have the smallest possible number of derivatives. The unique choice with only two derivatives is

$$\mathcal{L} = \frac{1}{16} F_\pi^2 \int d^4x \text{Tr} \partial_\mu U \partial_\mu U^{-1}, \quad (1)$$

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where experiment indicates  $F_\pi \approx 190$  MeV. The perturbative expansion of  $U$  is

$$U = 1 + \frac{2i}{F_\pi} \sum_{a=1}^8 \lambda^a \pi^a + \dots, \tag{2}$$

where  $\lambda^a$  (normalized so  $\text{Tr } \lambda^a \lambda^b = 2\delta^{ab}$ ) are the SU(3) generators and  $\pi^a$  are the Goldstone boson fields.

This effective lagrangian is known to incorporate all relevant symmetries of QCD. All current algebra theorems governing the extreme low-energy limit of Goldstone boson  $S$ -matrix elements can be recovered from the tree approximation to it. What is less well known, perhaps, is that (1) possesses an extra discrete symmetry that is *not* a symmetry of QCD.

The lagrangian (1) is invariant under  $U \leftrightarrow U^T$ . In terms of pions this is  $\pi^0 \leftrightarrow \pi^0$ ,  $\pi^+ \leftrightarrow \pi^-$ ; it is ordinary charge conjugation. (1) is also invariant under the naive parity operation  $x \leftrightarrow -x$ ,  $t \leftrightarrow t$ ,  $U \leftrightarrow U$ . We will call this  $P_0$ . And finally, (1) is invariant under  $U \leftrightarrow U^{-1}$ . Comparing with eq. (2), we see that this latter operation is equivalent to  $\pi^a \leftrightarrow -\pi^a$ ,  $a = 1, \dots, 8$ . This is the operation that counts modulo two the number of bosons,  $N_B$ , so we will call it  $(-1)^{N_B}$ .

Certainly,  $(-1)^{N_B}$  is not a symmetry of QCD. The problem is the following. QCD is parity invariant only if the Goldstone bosons are treated as pseudoscalars. The parity operation in QCD corresponds to  $x \leftrightarrow -x$ ,  $t \leftrightarrow t$ ,  $U \leftrightarrow U^{-1}$ . This is  $P = P_0(-1)^{N_B}$ . QCD is invariant under  $P$  but not under  $P_0$  or  $(-1)^{N_B}$  separately. The simplest process that respects all bona fide symmetries of QCD but violates  $P_0$  and  $(-1)^{N_B}$  is  $K^+ K^- \rightarrow \pi^+ \pi^0 \pi^-$  (note that the  $\phi$  meson decays to both  $K^+ K^-$  and  $\pi^+ \pi^0 \pi^-$ ). It is natural to ask whether there is a simple way to add a higher-order term to (1) to obtain a lagrangian that obeys *only* the appropriate symmetries.

The Euler-Lagrangian equation derived from (1) can be written

$$\partial_\mu \left( \frac{1}{8} F_\pi^2 U^{-1} \partial_\mu U \right) = 0. \tag{3}$$

Let us try to add a suitable extra term to this equation. A Lorentz-invariant term that violates  $P_0$  must contain the Levi-Civita symbol  $\epsilon_{\mu\nu\alpha\beta}$ . In the spirit of current algebra, we wish a term with the smallest possible number of derivatives, since, in the low-energy limit, the derivatives of  $U$  are small. There is a unique  $P_0$ -violating term with only four derivatives. We can generalize (3) to

$$\partial_\mu \left( \frac{1}{8} F_\pi^2 U^{-1} \partial_\mu U \right) + \lambda \epsilon^{\mu\nu\alpha\beta} U^{-1} (\partial_\mu U) U^{-1} (\partial_\nu U) U^{-1} (\partial_\alpha U) U^{-1} (\partial_\beta U) = 0, \tag{4}$$

$\lambda$  being a constant. Although it violates  $P_0$ , (4) can be seen to respect  $P = P_0(-1)^{N_B}$ .

Can eq. (4) be derived from a lagrangian? Here we find trouble. The only pseudoscalar of dimension four would seem to be  $\epsilon^{\mu\nu\alpha\beta} \text{Tr } U^{-1} (\partial_\mu U) \cdot U^{-1} (\partial_\nu U) U^{-1} (\partial_\alpha U) U^{-1} (\partial_\beta U)$ , but this vanishes, by antisymmetry of  $\epsilon^{\mu\nu\alpha\beta}$  and cyclic symmetry of the trace. Nevertheless, as we will see, there is a lagrangian.

Let us consider a simple problem of the same sort. Consider a particle of mass  $m$  constrained to move on an ordinary two-dimensional sphere of radius one. The lagrangian is  $\mathcal{L} = \frac{1}{2}m\dot{x}_i^2$  and the equation of motion is  $m\ddot{x}_i + mx_i(\sum_k \dot{x}_k^2) = 0$ ; the constraint is  $\sum x_i^2 = 1$ . This system respects the symmetries  $t \leftrightarrow -t$  and separately  $x_i \leftrightarrow -x_i$ . If we want an equation that is only invariant under the combined operation  $t \leftrightarrow -t, x_i \leftrightarrow x_i$ , the simplest choice is

$$m\ddot{x}_i + mx_i \left( \sum_k \dot{x}_k^2 \right) = \alpha \epsilon_{ijk} x_j \dot{x}_k, \tag{5}$$

where  $\alpha$  is a constant. To derive this equation from a lagrangian is again troublesome. There is no obvious term whose variation equals the right-hand side (since  $\epsilon_{ijk} x_i x_j \dot{x}_k = 0$ ).

However, this problem has a well-known solution. The right-hand side of (5) can be understood as the Lorentz force for an electric charge interacting with a magnetic monopole located at the center of the sphere. Introducing a vector potential  $A$  such that  $\nabla \times A = x/|x|^3$ , the action for our problem is

$$I = \int \left( \frac{1}{2}m\dot{x}_i^2 + \alpha A_i \dot{x}_i \right) dt. \tag{6}$$

This lagrangian is problematical because  $A_i$  contains a Dirac string and certainly does not respect the symmetries of our problem. To explore this quantum mechanically let us consider the simplest form of the Feynman path integral,  $\text{Tr} e^{-\beta H} = \int dx_i(t) e^{-I}$ . In  $e^{-I}$  the troublesome term is

$$\exp \left( i\alpha \int_{\gamma} A_i dx^i \right), \tag{7}$$

where the integration goes over the particle orbit  $\gamma$ : a closed orbit if we discuss the simplest object  $\text{Tr} e^{-\beta H}$ .

By Gauss's law we can eliminate the vector potential from (7) in favor of the magnetic field. In fact, the closed orbit  $\gamma$  of fig. 1a is the boundary of a disc  $D$ , and by Gauss's law we can write (7) in terms of the magnetic flux through  $D$ :

$$\exp \left( i\alpha \int_{\gamma} A_i dx^i \right) = \exp \left( i\alpha \int_D F_{ij} d\Sigma^{ij} \right). \tag{8}$$

The precise mathematical statement here is that since  $\pi_1(S^2) = 0$ , the circle  $\gamma$  in  $S^2$  is the boundary of a disc  $D$  (or more exactly, a mapping  $\gamma$  of a circle into  $S^2$  can be extended to a mapping of a disc into  $S^2$ ).

The right-hand side of (8) is manifestly well defined, unlike the left-hand side, which suffers from a Dirac string. We could try to use the right-hand side of (8) in a Feynman path integral. There is only one problem:  $D$  isn't unique. The curve  $\gamma$  also bounds the disc  $D'$  (fig. 1c). There is no consistent way to decide whether to choose

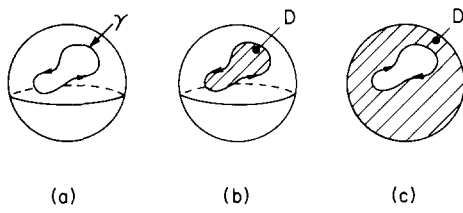


Fig. 1. A particle orbit  $\gamma$  on the two-sphere (part (a)) bounds the discs  $D$  (part (b)) and  $D'$  (part (c)).

$D$  or  $D'$  (the curve  $\gamma$  could continuously be looped around the sphere or turned inside out). Working with  $D'$  we would get

$$\exp\left(i\alpha \int_{\gamma} A_i dx^i\right) = \exp\left(-i\alpha \int_{D'} F_{ij} d\Sigma^{ij}\right), \tag{9}$$

where a crucial minus sign on the right-hand side of (9) appears because  $\gamma$  bounds  $D$  in a right-hand sense, but bounds  $D'$  in a left-hand sense. If we are to introduce the right-hand side of (8) or (9) in a Feynman path integral, we must require that they be equal. This is equivalent to

$$1 = \exp\left(i\alpha \int_{D+D'} F_{ij} d\Sigma^{ij}\right). \tag{10}$$

Since  $D + D'$  is the whole two sphere  $S^2$ , and  $\int_{S^2} F_{ij} d\Sigma^{ij} = 4\pi$ , (10) is obeyed if and only if  $\alpha$  is an integer or half-integer. This is Dirac's quantization condition for the product of electric and magnetic charges.

Now let us return to our original problem. We imagine space-time to be a very large four-dimensional sphere  $M$ . A given non-linear sigma model field  $U$  is a mapping of  $M$  into the  $SU(3)$  manifold (fig. 2a). Since  $\pi_4(SU(3)) = 0$ , the four-sphere in  $SU(3)$  defined by  $U(x)$  is the boundary of a five-dimensional disc  $Q$ .

By analogy with the previous problem, let us try to find some object that can be integrated over  $Q$  to define an action functional. On the  $SU(3)$  manifold there is a unique fifth rank antisymmetric tensor  $\omega_{ijklm}$  that is invariant under  $SU(3)_L \times SU(3)_R^*$ . Analogous to the right-hand side of eq. (8), we define

$$\Gamma = \int_Q \omega_{ijklm} d\Sigma^{ijklm}. \tag{11}$$

\* Let us first try to define  $\omega$  at  $U = 1$ ; it can then be extended to the whole  $SU(3)$  manifold by an  $SU(3)_L \times SU(3)_R$  transformation. At  $U = 1$ ,  $\omega$  must be invariant under the diagonal subgroup of  $SU(3)_L \times SU(3)_R$  that leaves fixed  $U = 1$ . The tangent space to the  $SU(3)$  manifold at  $U = 1$  can be identified with the Lie algebra of  $SU(3)$ . So  $\omega$ , at  $U = 1$ , defines a fifth-order antisymmetric invariant in the  $SU(3)$  Lie algebra. There is only one such invariant. Given five  $SU(3)$  generators  $A, B, C, D$  and  $E$ , the one such invariant is  $\text{Tr } ABCDE - \text{Tr } BACDE \pm \text{permutations}$ . The  $SU(3)_L \times SU(3)_R$  invariant  $\omega$  so defined has zero curl ( $\partial_i \omega_{jklmn} \pm \text{permutations} = 0$ ) and for this reason (11) is invariant under infinitesimal variations of  $Q$ ; there arises only the topological problem discussed in the text.

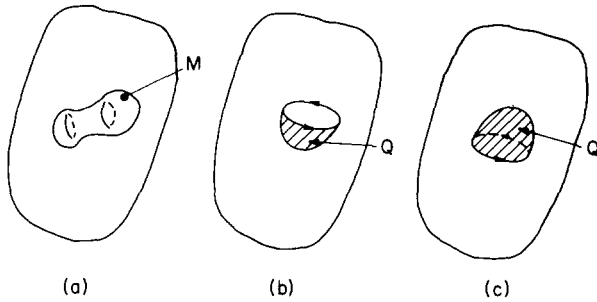


Fig. 2. Space-time, a four-sphere, is mapped into the SU(3) manifold. In part (a), space-time is symbolically denoted as a two sphere. In parts (b) and (c), space-time is reduced to a circle that bounds the discs Q and Q'. The SU(3) manifold is symbolized in these sketches by the interior of the oblong.

As before, we hope to include  $\exp(i\Gamma)$  in a Feynman path integral. Again, the problem is that Q is not unique. Our four-sphere M is also the boundary of another five-disc Q' (fig. 2c). If we let

$$\Gamma' = - \int_{Q'} \omega_{ijklm} d\Sigma^{ijklm}, \tag{12}$$

(with, again, a minus sign because M bounds Q' with opposite orientation) then we must require  $\exp(i\Gamma) = \exp(i\Gamma')$  or equivalently  $\int_{Q+Q'} \omega_{ijklm} d\Sigma^{ijklm} = 2\pi \cdot \text{integer}$ . Since  $Q + Q'$  is a closed five-dimensional sphere, our requirement is

$$\int_S \omega_{ijklm} d\Sigma^{ijklm} = 2\pi \cdot \text{integer},$$

for any five-sphere S in the SU(3) manifold.

We thus need the topological classification of mappings of the five-sphere into SU(3). Since  $\pi_5(\text{SU}(3)) = \mathbb{Z}$ , every five sphere in SU(3) is topologically a multiple of a basic five sphere  $S_0$ . We normalize  $\omega$  so that

$$\int_{S_0} \omega_{ijklm} d\Sigma^{ijklm} = 2\pi, \tag{13}$$

and then (with  $\Gamma$  in eq. (11)) we may work with the action

$$I = \frac{1}{16} F_\pi^2 \int d^4x \text{Tr} \partial_\mu U \partial_\mu U^{-1} + n\Gamma, \tag{14}$$

where  $n$  is an arbitrary integer.  $\Gamma$  is, in fact, the Wess-Zumino lagrangian. Only the a priori quantization of  $n$  is a new result.

The identification of  $S_0$  and the proper normalization of  $\omega$  is a subtle mathematical problem. The solution involves a factor of two from the Bott periodicity theorem. Without abstract notation, the result [5] can be stated as follows. Let  $y^i, i = 1 \dots 5$  be coordinates for the disc  $Q$ . Then on  $Q$  (where we need it)

$$d\Sigma^{ijklm} \omega_{ijklm} = -\frac{i}{240\pi^2} d\Sigma^{ijklm} \left[ \text{Tr} U^{-1} \frac{\partial U}{\partial y^i} U^{-1} \frac{\partial U}{\partial y^j} U^{-1} \frac{\partial U}{\partial y^k} U^{-1} \frac{\partial U}{\partial y^l} U^{-1} \frac{\partial U}{\partial y^m} \right]. \tag{15}$$

The physical consequences of this can be made more transparent as follows. From eq. (2),

$$U^{-1} \partial_i U = \frac{2i}{F_\pi} \partial_i A + O(A^2), \quad \text{where } A = \Sigma \lambda^a \pi^a. \tag{16}$$

So

$$\begin{aligned} \omega_{ijklm} d\Sigma^{ijklm} &= \frac{2}{15\pi^2 F_\pi^5} d\Sigma^{ijklm} \text{Tr} \partial_i A \partial_j A \partial_k A \partial_l A \partial_m A + O(A^6) \\ &= \frac{2}{15\pi^2 F_\pi^5} d\Sigma^{ijklm} \partial_i (\text{Tr} A \partial_j A \partial_k A \partial_l A \partial_m A) + O(A^6). \end{aligned}$$

So  $\int_Q \omega_{ijklm} d\Sigma^{ijklm}$  is (to order  $A^5$  and in fact also in higher orders) the integral of a total divergence which can be expressed by Stokes' theorem as an integral over the boundary of  $Q$ . By construction, this boundary is precisely space-time. We have, then,

$$n\Gamma = n \frac{2}{15\pi^2 F_\pi^5} \int d^4x \epsilon^{\mu\nu\alpha\beta} \text{Tr} A \partial_\mu A \partial_\nu A \partial_\alpha A \partial_\beta A + \text{higher order terms}. \tag{17}$$

In a hypothetical world of massless kaons and pions, this effective lagrangian rigorously describes the low-energy limit of  $K^+ K^- \rightarrow \pi^+ \pi^0 \pi^-$ . We reach the remarkable conclusion that in any theory with  $SU(3) \times SU(3)$  broken to diagonal  $SU(3)$ , the low-energy limit of the amplitude for this reaction must be (in units given in (17)) an integer.

What is the value of this integer in QCD? Were  $n$  to vanish, the practical interest of our discussion would be greatly reduced. It turns out that if  $N_c$  is the number of colors (three in the real world) then  $n = N_c$ . The simplest way to deduce this is a

\* Our formula should agree for  $n = 1$  with formulas of ref. [1], as later equations make clear. There appears to be a numerical error on p. 97 of ref. [1] ( $\frac{1}{6}$  instead of  $\frac{2}{15}$ ).

procedure that is of interest anyway, viz. coupling to electromagnetism, so as to describe the low-energy dynamics of Goldstone bosons and photons.

Let

$$Q = \begin{pmatrix} \frac{2}{3} & & \\ & -\frac{1}{3} & \\ & & -\frac{1}{3} \end{pmatrix}$$

be the usual electric charge matrix of quarks. The functional  $\Gamma$  is invariant under global charge rotations,  $U \rightarrow U + i\epsilon[Q, U]$ , where  $\epsilon$  is a constant. We wish to promote this to a local symmetry,  $U \rightarrow U + i\epsilon(x)[Q, U]$ , where  $\epsilon(x)$  is an arbitrary function of  $x$ . It is necessary, of course, to introduce the photon field  $A_\mu$  which transforms as  $A_\mu \rightarrow A_\mu - (1/e)\partial_\mu\epsilon$ ;  $e$  is the charge of the proton.

Usually a global symmetry can straightforwardly be gauged by replacing derivatives by covariant derivatives,  $\partial_\mu \rightarrow D_\mu = \partial_\mu + ieA_\mu$ . In the case at hand,  $\Gamma$  is not given as the integral of a manifestly  $SU(3)_L \times SU(3)_R$  invariant expression, so the standard road to gauging global symmetries of  $\Gamma$  is not available. One can still resort to the trial and error Noether method, widely used in supergravity. Under a local charge rotation, one finds  $\Gamma \rightarrow \Gamma - \int d^4x \partial_\mu\epsilon J^\mu$  where

$$J^\mu = \frac{1}{48\pi^2} \epsilon^{\mu\nu\alpha\beta} \text{Tr} \left[ Q (\partial_\nu U U^{-1}) (\partial_\alpha U U^{-1}) (\partial_\beta U U^{-1}) \right. \\ \left. + Q (U^{-1} \partial_\nu U) (U^{-1} \partial_\alpha U) (U^{-1} \partial_\beta U) \right], \quad (18)$$

is the extra term in the electromagnetic current required (from Noether's theorem) due to the addition of  $\Gamma$  to the lagrangian. The first step in the construction of an invariant lagrangian is to add the Noether coupling,  $\Gamma \rightarrow \Gamma' = \Gamma - e \int d^4x A_\mu J^\mu(x)$ . This expression is still not gauge invariant, because  $J^\mu$  is not, but by trial and error one finds that by adding an extra term one can form a gauge invariant functional

$$\tilde{\Gamma}(U, A_\mu) = \Gamma(U) - e \int d^4x A_\mu J^\mu + \frac{ie^2}{24\pi^2} \int d^4x \epsilon^{\mu\nu\alpha\beta} (\partial_\mu A_\nu) A_\alpha \\ \times \text{Tr} \left[ Q^2 (\partial_\beta U) U^{-1} + Q^2 U^{-1} (\partial_\beta U) + Q U Q U^{-1} (\partial_\beta U) U^{-1} \right]. \quad (19)$$

Our gauge invariant lagrangian will then be

$$\mathcal{L} = \frac{1}{16} F_\pi^2 \int d^4x \text{Tr} D_\mu U D_\mu U^{-1} + n \tilde{\Gamma}. \quad (20)$$

What value of the integer  $n$  will reproduce QCD results?

Here we find a surprise. The last term in (18) has a piece that describes  $\pi^0 \rightarrow \gamma\gamma$ . Expanding  $U$  and integrating by parts, (18) has a piece

$$A = \frac{ne^2}{48\pi^2 F_\pi} \pi^0 \epsilon^{\mu\nu\alpha\beta} F_{\mu\nu} F_{\alpha\beta}. \tag{21}$$

This agrees with the result from QCD triangle diagrams [6] if  $n = N_c$ , the number of colors. The Noether coupling  $-eA_\mu J^\mu$  describes, among other things, a  $\gamma\pi^+\pi^0\pi^-$  vertex

$$B = -\frac{2}{3}ie \frac{n}{\pi^2 F_\pi^3} \epsilon^{\mu\nu\alpha\beta} A_\mu \partial_\nu \pi^+ \partial_\alpha \pi^- \partial_\beta \pi^0. \tag{22}$$

Again this agrees with calculations [7] based on the QCD VAAA anomaly if  $n = N_c$ . The effective action  $N_c \tilde{\Gamma}$  (first constructed in another way by Wess and Zumino) precisely describes all effects of QCD anomalies in low-energy processes with photons and Goldstone bosons.

It is interesting to try to gauge subgroups of  $SU(3)_L \times SU(3)_R$  other than electromagnetism. One may have in mind, for instance, applications to the standard weak interaction model. In general, one may try to gauge an arbitrary subgroup  $H$  of  $SU(3)_L \times SU(3)_R$ , with generators  $K^\sigma$ ,  $\sigma = 1 \dots r$ . Each  $K^\sigma$  is a linear combination of generators  $T_L^\sigma$  and  $T_R^\sigma$  of  $SU(3)_L$  and  $SU(3)_R$ ,  $K^\sigma = T_L^\sigma + T_R^\sigma$ . (Either  $T_L^\sigma$  or  $T_R^\sigma$  may vanish for some values of  $\sigma$ .) For any space-time dependent functions  $\epsilon^\sigma(x)$ , let  $\epsilon_L = \sum_\sigma T_L^\sigma \epsilon^\sigma(x)$ ,  $\epsilon_R = \sum_\sigma T_R^\sigma \epsilon^\sigma(x)$ . We want an action with local invariance under  $U \rightarrow U + i(\epsilon_L(x)U - U\epsilon_R(x))$ .

Naturally, it is necessary to introduce gauge fields  $A_\mu^\sigma(x)$ , transforming as  $A_\mu^\sigma(x) \rightarrow A_\mu^\sigma(x) - (1/e_\sigma) \partial_\mu \epsilon^\sigma + f^{\sigma\tau\rho} \epsilon^\tau A_\mu^\rho$  where  $e_\sigma$  is the coupling constant corresponding to the generator  $K^\sigma$ , and  $f^{\sigma\tau\rho}$  are the structure constants of  $H$ . It is useful to define  $A_{\mu L} = \sum_\sigma e_\sigma A_\mu^\sigma T_L^\sigma$ ,  $A_{\mu R} = \sum_\sigma e_\sigma A_\mu^\sigma T_R^\sigma$ .

We have already seen that  $\Gamma$  incorporates the effects of anomalies, so it is not very surprising that a generalization of  $\Gamma$  that is gauge invariant under  $H$  exists only if  $H$  is a so-called anomaly-free subgroup of  $SU(3)_L \times SU(3)_R$ . Specifically, one finds that  $H$  can be gauged only if for each  $\sigma$ ,

$$\text{Tr}(T_L^\sigma)^3 = \text{Tr}(T_R^\sigma)^3, \tag{23}$$

which is the usual condition for cancellation of anomalies at the quark level.

If (23) is obeyed, a gauge invariant generalization of  $\Gamma$  can be constructed somewhat tediously by trial and error. It is useful to define  $U_{\nu L} = (\partial_\nu U)U^{-1}$  and  $U_{\nu R} = U^{-1} \partial_\nu U$ . The gauge invariant functional then turns out to be

$$\tilde{\Gamma}(A_\mu, U) = \Gamma(U) + \frac{1}{48\pi^2} \int d^4x \epsilon^{\mu\nu\alpha\beta} Z_{\mu\nu\alpha\beta},$$



where

$$\begin{aligned}
Z_{\mu\nu\alpha\beta} = & -\text{Tr}[A_{\mu\text{L}}U_{\nu\text{L}}U_{\alpha\text{L}}U_{\beta\text{L}} + (\text{L} \rightarrow \text{R})] \\
& + i\text{Tr}[[(\partial_\mu A_{\nu\text{L}})A_{\alpha\text{L}} + A_{\mu\text{L}}(\partial_\nu A_{\alpha\text{L}})]U_{\beta\text{L}} + (\text{L} \rightarrow \text{R})] \\
& + i\text{Tr}[(\partial_\mu A_{\nu\text{R}})U^{-1}A_{\alpha\text{L}}\partial_\beta U + A_{\mu\text{L}}U^{-1}(\partial_\nu A_{\alpha\text{R}})\partial_\beta U] \\
& - \frac{1}{2}i\text{Tr}(A_{\mu\text{L}}U_{\nu\text{L}}A_{\alpha\text{L}}U_{\beta\text{L}} - (\text{L} \rightarrow \text{R})) \\
& + i\text{Tr}[A_{\mu\text{L}}UA_{\nu\text{R}}U^{-1}U_{\alpha\text{L}}U_{\beta\text{L}} - A_{\mu\text{R}}U^{-1}A_{\nu\text{L}}UU_{\alpha\text{R}}U_{\beta\text{R}}] \\
& - \text{Tr}[[(\partial_\mu A_{\nu\text{R}})A_{\alpha\text{R}} + A_{\mu\text{R}}(\partial_\nu A_{\alpha\text{R}})]U^{-1}A_{\beta\text{L}}U \\
& \quad - [(\partial_\mu A_{\nu\text{L}})A_{\alpha\text{L}} + A_{\mu\text{L}}(\partial_\nu A_{\alpha\text{L}})]UA_{\beta\text{R}}U^{-1}] \\
& - \text{Tr}[A_{\mu\text{R}}U^{-1}A_{\nu\text{L}}UA_{\alpha\text{R}}U_{\beta\text{R}} + A_{\mu\text{L}}UA_{\nu\text{R}}U^{-1}A_{\alpha\text{L}}U_{\beta\text{L}}] \\
& - \text{Tr}[A_{\mu\text{L}}A_{\nu\text{L}}U(\partial_\alpha A_{\beta\text{R}})U^{-1} + A_{\mu\text{R}}A_{\nu\text{R}}U^{-1}(\partial_\alpha A_{\beta\text{L}})U] \\
& - i\text{Tr}[A_{\mu\text{R}}A_{\nu\text{R}}A_{\alpha\text{R}}U^{-1}A_{\beta\text{L}}U - A_{\mu\text{L}}A_{\nu\text{L}}A_{\alpha\text{L}}UA_{\beta\text{R}}U^{-1} \\
& \quad + \frac{1}{2}A_{\mu\text{L}}A_{\nu\text{L}}UA_{\alpha\text{R}}A_{\beta\text{R}}U^{-1} + \frac{1}{2}A_{\mu\text{R}}U^{-1}A_{\nu\text{L}}UA_{\alpha\text{R}}U^{-1}A_{\beta\text{L}}U]. \quad (24)
\end{aligned}$$

If eq. (22) for cancellation of anomalies is not obeyed, then the variation of  $\tilde{\Gamma}$  under a gauge transformation does not vanish but is

$$\begin{aligned}
\delta\tilde{\Gamma} = & -\frac{1}{24\pi^2} \int d^4x \varepsilon^{\mu\nu\alpha\beta} \text{Tr} \varepsilon_{\text{L}} [(\partial_\mu A_{\nu\text{L}})(\partial_\alpha A_{\beta\text{L}}) - \frac{1}{2}i\partial_\mu (A_{\nu\text{L}}A_{\alpha\text{L}}A_{\beta\text{L}})] \\
& - (\text{L} \rightarrow \text{R}), \quad (25)
\end{aligned}$$

in agreement with computations at the quark level [8] of the anomalous variation of the effective action under a gauge transformation.

Thus,  $\Gamma$  incorporates all information usually associated with triangle anomalies, including the restriction on what subgroups  $\text{H}$  of  $\text{SU}(3)_{\text{L}} \times \text{SU}(3)_{\text{R}}$  can be gauged. However, there is another potential obstruction to the ability to gauge a subgroup of  $\text{SU}(3)_{\text{L}} \times \text{SU}(3)_{\text{R}}$ . This is the non-perturbative anomaly [3] associated with  $\pi_4(\text{H})$ . Is this anomaly, as well, implicit in  $\Gamma$ ? In fact, it is.

Let  $\text{H}$  be an  $\text{SU}(2)$  subgroup of  $\text{SU}(3)_{\text{L}}$ , chosen so that an  $\text{SU}(2)$  matrix  $W$  is embedded in  $\text{SU}(3)_{\text{L}}$  as

$$\hat{W} = \left( \begin{array}{c|cc} & & 0 \\ W & & 0 \\ \hline 0 & 0 & 1 \end{array} \right).$$

This subgroup is free of triangle anomalies, so the functional  $\tilde{\Gamma}$  of eq. (23) is invariant under infinitesimal local H transformations.

However, is  $\tilde{\Gamma}$  invariant under H transformations that cannot be reached continuously? Since  $\pi_4(\text{SU}(2)) = \mathbb{Z}_2$ , there is one non-trivial homotopy class of SU(2) gauge transformations. Let  $W$  be an SU(2) gauge transformation in this non-trivial class. Under  $\hat{W}$ ,  $\tilde{\Gamma}$  may at most be shifted by a constant, independent of  $U$  and  $A_\mu$ , because  $\delta\tilde{\Gamma}/\delta U$  and  $\delta\tilde{\Gamma}/\delta A_\mu$  are gauge-covariant local functionals of  $U$  and  $A_\mu$ . Also  $\tilde{\Gamma}$  is invariant under  $\hat{W}^2$ , since  $\hat{W}^2$  is equivalent to the identity in  $\pi_4(\text{SU}(2))$ , and we know  $\tilde{\Gamma}$  is invariant under topologically trivial gauge transformations. This does not quite mean that  $\tilde{\Gamma}$  is invariant under  $W$ . Since  $\tilde{\Gamma}$  is only defined modulo  $2\pi$ , the fact that  $\tilde{\Gamma}$  is invariant under  $W^2$  leaves two possibilities for how  $\tilde{\Gamma}$  behaves under  $W$ . It may be invariant, or it may be shifted by  $\pi$ .

To choose between these alternatives, it is enough to consider a special case. For instance, it suffices to evaluate  $\Delta = \tilde{\Gamma}(U = 1, A_\mu = 0) - \tilde{\Gamma}(U = \hat{W}, A_\mu = ie^{-1}(\partial_\mu \hat{W})\hat{W}^{-1})$ . It is not difficult to see that in this case the complicated terms involving  $\epsilon^{\mu\nu\alpha\beta}Z_{\mu\nu\alpha\beta}$  vanish, so in fact  $\Delta = \Gamma(U = 1) - \Gamma(U = \hat{W})$ . A detailed calculation shows that

$$\Gamma(U = 1) - \Gamma(U = \hat{W}) = \pi. \tag{26}$$

This calculation has some other interesting applications and will be described elsewhere [9].

The Feynman path integral, which contains a factor  $\exp(iN_c\tilde{\Gamma})$ , hence picks up under  $W$  a factor  $\exp(iN_c\pi) = (-1)^{N_c}$ . It is gauge invariant if  $N_c$  is even, but not if  $N_c$  is odd. This agrees with the determination of the SU(2) anomaly at the quark level [3]. For under H, the right-handed quarks are singlets. The left-handed quarks consist of one singlet and one doublet per color, so the number of doublets equals  $N_c$ . The argument of ref. [3] shows at the quark level that the effective action transforms under  $W$  as  $(-1)^{N_c}$ .

Finally, let us make the following remark, which apart from its intrinsic interest will be useful elsewhere [9]. Consider  $\text{SU}(3)_L \times \text{SU}(3)_R$  currents defined at the quark level as

$$J_{\mu L}^a = \bar{q}\lambda^a\gamma_{\mu\frac{1}{2}}(1 - \gamma_5)q, \quad J_{\mu R}^a = \bar{q}\lambda^a\gamma_{\mu\frac{1}{2}}(1 + \gamma_5)q. \tag{27}$$

By analogy with eq. (17), the proper sigma model description of these currents contains pieces

$$J_L^{\mu a} = \frac{N_c}{48\pi^2}\epsilon^{\mu\nu\alpha\beta}\text{Tr}\lambda^a U_{\nu L}U_{\alpha L}U_{\beta L},$$

$$J_R^{\mu a} = \frac{N_c}{48\pi^2}\epsilon^{\mu\nu\alpha\beta}\text{Tr}\lambda^a U_{\nu R}U_{\alpha R}U_{\beta R}, \tag{28}$$

corresponding (via Noether's theorem) to the addition to the lagrangian of  $N_c T$ . In this discussion, the  $\lambda^a$  should be traceless  $SU(3)$  generators. However, let us try to construct an anomalous baryon number current in the same way. We define the baryon number of a quark (whether left-handed or right-handed) to be  $1/N_c$ , so that an ordinary baryon made from  $N_c$  quarks has baryon number one. Replacing  $\lambda^a$  by  $1/N_c$ , but including contributions of both left-handed and right-handed quarks, the anomalous baryon-number current would be

$$J^\mu = \frac{1}{24\pi^2} \epsilon^{\mu\nu\alpha\beta} \text{Tr} U^{-1} \partial_\nu U U^{-1} \partial_\alpha U U^{-1} \partial_\beta U. \quad (29)$$

One way to see that this is the proper, and properly normalized, formula is to consider gauging an arbitrary subgroup not of  $SU(3)_L \times SU(3)_R$  but of  $SU(3)_L \times SU(3)_R \times U(1)$ ,  $U(1)$  being baryon number. The gauging of  $U(1)$  is accomplished by adding a Noether coupling  $-eJ^\mu B_\mu$  plus whatever higher-order terms may be required by gauge invariance. ( $B_\mu$  is a  $U(1)$  gauge field which may be coupled as well to some  $SU(3)_L \times SU(3)_R$  generator.) With  $J^\mu$  defined in (29), this leads to a generalization of  $\tilde{F}$  that properly reflects anomalous diagrams involving the baryon-number current (for instance, it properly incorporates the anomaly in the baryon number  $SU(2)_L - SU(2)_L$  triangle that leads to baryon non-conservation by instantons in the standard weak interaction model). Eq. (29) may also be extracted from QCD by methods of Goldstone and Wilczek [10].

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